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Date: November 25, 2003

**TO:** Examiner Keshavan, Belur V. (703) 872-9319  
Art Unit: 2825

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Subject Matter: 09/ 975,840 Attorney Doc: 67,200-547

Message:

Note: The redline drawings and replacement sheets  
will be mailed to you today.

Total number of pages sent: - **27** - (including this cover sheet)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**APPLICANT:** Min-Hsiung Chiang, et al. **EXAMINER:** Keshavan, Belur V.**SERIAL NO.:** 09/975,840 **GROUP:** 2825**FILED:** 10/11/2001 **ATTY DKT NO.:** 67,200-547**TITLED:** METHODS AND SYSTEMS FOR FORMING EMBEDDED DRAM  
FOR AN MIM CAPACITORRECEIVED  
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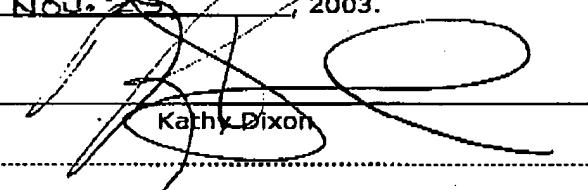
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I hereby certify that this correspondence is  
being deposited with the U.S. Patent Office  
via facsimile no. (703) 872-9319 on

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Kathy Dixon

Mail Stop Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT AND RESPONSE TO OFFICE ACTION**

Dear Sir:

In response to the Office Action dated September 25, 2003 (Paper No. 4) in  
the above captioned matter, please enter the following amendments and remarks.

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SERIAL NO. 09/975,840